

# PROJECTIVE IMAGE ALIGNMENT BY USING ECC MAXIMIZATION

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**Abstract:** Nonlinear projective transformation provides the exact number of desired parameters to account for all possible camera motions thus making its use a natural choice in image alignment problems. Moreover, the ability of an alignment algorithm to quickly and accurately estimate the parameter values of the geometric transformation even in cases of over-modelling of the warping process constitutes a basic requirement for many computer vision applications. In this paper the appropriateness of the Enhanced Correlation Coefficient (ECC) function as a performance criterion in the projective image registration problem is investigated. Since this measure is a highly nonlinear function of the warp parameters, its maximization by using an iterative technique is achieved. The main theoretical results concerning the nonlinear optimization problem and an efficient approximation leads to an optimal closed form solution (per iteration) are presented. The performance of the iterative algorithm is compared against the well known Lucas-Kanade algorithm through a series of experiments involving strong or weak geometric deformations, ideal and noisy conditions and even over-modelling of the warping process. In all cases ECC based algorithm exhibits a better behavior in speed, as well as in the probability of convergence as compared to the Lucas-Kanade scheme.

## 1 INTRODUCTION

The image alignment problem can be seen as a mapping between the coordinates systems of two or more images, therefore the first step towards its solution is the choice of an appropriate geometric transformation that adequately models this mapping. Eight-parameters projective transformation provides the exact number of desired parameters to account for all possible camera motions, therefore its use in the parametric image alignment problem is considered as the most natural choice. This class of transformations and in particular several of its subclasses as affine, similitude transformations and pure translation have been in the center of attention in many applications (Fuh and Maragos, 1991; Gleicher, 1997; Hager and Belhumeur, 1998; Baker and Matthews, 2004; Szeliski, 2006).

Once the parametric transformation has been defined the alignment problem reduces into a parameter estimation problem. Therefore, the second crit-

ical step towards its solution is the definition of an appropriate objective function. Most existing techniques adopt measures which are  $l_p$  based norms of the error between either the whole image profiles (*pixel-based techniques*) or specific feature of image profiles (*feature-based techniques*) (Szeliski, 2005), with the  $l_2$  norm being by far the most widely used (Horn and Schunk, 1981; Lucas and Kanade, 1981; Anandan, 1989; Fuh and Maragos, 1991; Black and Anandan, 1993; Hager and Belhumeur, 1998; Shum and Szeliski, 2000; Baker and Matthews, 2004; Szeliski, 2006; Altunbasak et al., 2003; Evangelidis and Psarakis, 2007).

Independently of the used measure, for the optimum estimation of the parameters most of the existing *pixel-based techniques* require the use of gradient based iterative optimization techniques. However, the choice of the measure, the form of the alternative expression that approximates the original nonlinear objective function in each iteration of the alignment algorithm and the number of the parameters to be es-

timated, affect its accuracy, speed and probability of convergency as well as its robustness against possible photometric distortions.

In this paper the appropriateness of Enhanced Correlation Coefficient as a performance criterion (Evangelidis and Psarakis, 2007) for the eight-parameters nonlinear projective registration problem is investigated. Since the measure is a highly nonlinear function of the warp parameters, its maximization is achieved by using an iterative technique. The main theoretical results concerning the nonlinear optimization problem and an efficient approximation that leads to an optimal closed form solution (per iteration) are presented. The performance of the algorithm is compared against the well known Lucas-Kanade algorithm with the help of a series of experiments. Specifically we investigate the appropriateness of the competing algorithms in projective registration, when their input is a pair of manually unregistered images. These images have been obtained via a projective (modelling) or an affine (over-modelling) deformation. Noise free or noisy images are used as data sets in experiments.

The remainder of this paper is organized as follows. In Section 2, we formulate the parametric image alignment problem. In Section 3, the ECC based nonlinear optimization problem is defined; the iterative alignment algorithm and a closed form optimal solution of the basic (per iteration) optimization problem are given. In Section 4, we apply the ECC based technique in a number of experiments and a detailed comparison of our algorithm with the Lucas-Kanade alignment scheme is provided. Finally, Section 5 contains our conclusions.

## 2 Problem Formulation

In this section we formulate the problem of alignment of two image profiles. Let us assume that a *reference* image  $I_r(\mathbf{x})$  and a *warped* image  $I_w(\mathbf{x}')$  are given, where  $\mathbf{x} = [x, y]$  and  $\mathbf{x}' = [x', y']$  denote image coordinates. Suppose also that we are given a set of coordinates  $\mathcal{S} = \{\mathbf{x}_i | i = 1, \dots, K\}$  in the reference image, which is called *target area*. Then, the alignment problem consists in finding the corresponding coordinate set in the warped image.

By considering that a transformation model  $T(\mathbf{x}; \mathbf{p})$  where  $\mathbf{p} = (p_1, p_2, \dots, p_N)^t$  is a vector of unknown parameters is given, the alignment problem is reduced to the problem of estimating the parameter vector  $\mathbf{p}$  such that

$$I_r(\mathbf{x}) = \Psi(I_w(T(\mathbf{x}; \mathbf{p})); \alpha), \quad \mathbf{x} \in \mathcal{S}, \quad (1)$$

where transformation  $\Psi(I, \alpha)$  which is parameterized by a vector  $\alpha$ , accounts for possible photometric distortions that violate the brightness constancy assumption, a case which arises in real applications due to different viewing directions and/or different illumination conditions.

The goal of most existing algorithms is the minimization of the dissimilarity of the two image profiles, providing the optimum parameter values. Dissimilarity is usually expressed through an objective function  $E(\mathbf{p}, \alpha)$  which involves the  $l_p$  norm of the intensity residual of the image profiles. A typical minimization problem has the following form

$$\min_{\mathbf{p}, \alpha} E(\mathbf{p}, \alpha) = \min_{\mathbf{p}, \alpha} \sum_{\mathbf{x} \in \mathcal{S}} |I_r(\mathbf{x}) - \Psi(I_w(T(\mathbf{x}; \mathbf{p})), \alpha)|^p. \quad (2)$$

Solving the above defined problem is not a simple task because of the nonlinearity involved in the correspondence part. Computational complexity and estimation quality of existing schemes depends on the specific  $l_p$  norm and the models used for warping and photometric distortion. As far as the norm power  $p$  is concerned most methods use  $p = 2$  (Euclidean norm). This will also be the case in the approach we briefly present in the next section.

## 3 The Alignment Algorithm

It is more convenient at this point to define the *reference vector*  $\mathbf{i}_r$  and the *warped vector*  $\mathbf{i}_w(\mathbf{p})$  as follows

$$\mathbf{i}_r = \begin{bmatrix} I_r(\mathbf{x}_1) \\ I_r(\mathbf{x}_2) \\ \vdots \\ I_r(\mathbf{x}_K) \end{bmatrix}, \quad \mathbf{i}_w(\mathbf{p}) = \begin{bmatrix} I_w(T(\mathbf{x}_1; \mathbf{p})) \\ I_w(T(\mathbf{x}_2; \mathbf{p})) \\ \vdots \\ I_w(T(\mathbf{x}_K; \mathbf{p})) \end{bmatrix} \quad (3)$$

and denote by  $\bar{\mathbf{i}}_r$  and  $\bar{\mathbf{i}}_w(\mathbf{p})$  the zero-mean versions of the reference and warped vector respectively. We then propose the following  $l_2$  based criterion to quantify the applicability of  $T(\mathbf{x}; \mathbf{p})$  in alignment of  $\mathbf{i}_r$  with  $\mathbf{i}_w(\mathbf{p})$  as a function of  $\mathbf{p}$

$$E_{ECC}(\mathbf{p}) = \left\| \left\| \frac{\bar{\mathbf{i}}_r}{\|\bar{\mathbf{i}}_r\|} - \frac{\bar{\mathbf{i}}_w(\mathbf{p})}{\|\bar{\mathbf{i}}_w(\mathbf{p})\|} \right\| \right\|^2, \quad (4)$$

where  $\|\cdot\|$  denotes the usual Euclidean norm.

It is clear from (4) that this criterion is invariant to possibly existing contrast and/or brightness changes since involved vectors are zero-mean and normalized. So, to a first approximation, we can concentrate on the geometric transformation putting aside the photometric one. These characteristics clearly support our choice to adopt this criterion for the image alignment problem.

### 3.1 A Nonlinear Maximization Problem

Since the residual in (4) is based on zero-mean and normalized vectors, it is straightforward to prove that minimizing  $E_{ECC}(\mathbf{p})$  is equivalent to maximizing the *enhanced correlation coefficient* (Psarakis and Evangelidis, 2005)

$$\rho(\mathbf{p}) = \hat{\mathbf{i}}_r^t \frac{\bar{\mathbf{i}}_w(\mathbf{p})}{\|\bar{\mathbf{i}}_w(\mathbf{p})\|} \quad (5)$$

where  $\hat{\mathbf{i}}_r$  is the normalized reference vector. Notice that even if  $\bar{\mathbf{i}}_w(\mathbf{p})$  depends linearly on the parameter vector  $\mathbf{p}$ , the resulting objective function is still nonlinear with respect to  $\mathbf{p}$  due to the normalization of the warped vector. This of course suggests that its maximization requires nonlinear optimization techniques.

In order to maximize  $\rho(\mathbf{p})$  we are going to use a gradient-based iterative approach. More specifically, we are going to replace the original optimization problem by a *sequence* of secondary optimizations. Each such optimization relies on the outcome of its predecessor thus generating a sequence of parameter estimates which hopefully converges to the desired optimizing vector of the original problem. Notice that, at each iteration we do not have to optimize the objective function, but an *approximation* to this function, such that the resulting optimizer is simple to compute. Let us therefore introduce the approximation we intend to apply to our objective function and also derive an analytic expression for the solution that maximizes it.

Suppose that  $\mathbf{p}$  is “close” to some nominal parameter vector  $\bar{\mathbf{p}}$  and write  $\mathbf{p} = \bar{\mathbf{p}} + \Delta\mathbf{p}$ , where  $\Delta\mathbf{p}$  denotes a vector of perturbation. Suppose also that the intensity function  $I_w$  and the warping transformation  $T$  are of sufficient smoothness to allow for the existence of the required partial derivatives. If we denote as  $\tilde{\mathbf{x}}' = T(\mathbf{x}; \bar{\mathbf{p}})$  the warped coordinates under the nominal parameter vector and  $\mathbf{x}' = T(\mathbf{x}; \mathbf{p})$  under the perturbed, then, applying a first order Taylor expansion with respect to the parameters, we can write

$$I_w(\mathbf{x}') \approx I_w(\tilde{\mathbf{x}}') + [\nabla_{\mathbf{x}'} I_w(\tilde{\mathbf{x}}')]^t \frac{\partial T(\mathbf{x}; \bar{\mathbf{p}})}{\partial \mathbf{p}} \Delta\mathbf{p}, \quad (6)$$

where  $\nabla_{\mathbf{x}'} I_w(\tilde{\mathbf{x}}')$  denotes the gradient vector of length 2 of the intensity function  $I_w(\mathbf{x}')$  of the warped image evaluated at the nominal coordinates  $\tilde{\mathbf{x}}'$  and  $\frac{\partial T(\mathbf{x}; \bar{\mathbf{p}})}{\partial \mathbf{p}}$  the size  $2 \times N$  Jacobian matrix of the warp transform with respect to its parameters, evaluated at the nominal values  $\bar{\mathbf{p}}$ .

By applying (6) to all points of target area  $\mathcal{S}$ , forming the linearized version of the warp vector  $\bar{\mathbf{i}}_w(\mathbf{p})$  and computing its zero mean counterpart we obtain

the following approximation  $\rho(\Delta\mathbf{p}|\bar{\mathbf{p}})$  of the objective function  $\rho(\mathbf{p})$  defined in (5):

$$\rho(\mathbf{p}) \approx \rho(\Delta\mathbf{p}|\bar{\mathbf{p}}) = \frac{\hat{\mathbf{i}}_r^t \bar{\mathbf{i}}_w + \hat{\mathbf{i}}_r^t \bar{G} \Delta\mathbf{p}}{\sqrt{\|\bar{\mathbf{i}}_w\|^2 + 2\hat{\mathbf{i}}_r^t \bar{G} \Delta\mathbf{p} + \Delta\mathbf{p}^t \bar{G}^t \bar{G} \Delta\mathbf{p}}} \quad (7)$$

where  $\bar{G}$  denotes the column-zero-mean counterpart of the size  $K \times N$  Jacobian matrix  $G(\bar{\mathbf{p}})$  of the warped intensity vector with respect to the parameters, evaluated at the nominal parameter values  $\bar{\mathbf{p}}$ . Note that for notational simplicity, the dependence of the warped vectors on  $\mathbf{p}$  has been dropped.

Although  $\rho(\Delta\mathbf{p}|\bar{\mathbf{p}})$  is a non-linear function of  $\Delta\mathbf{p}$ , its maximization results in a closed-form solution. This solution is given, without proof, by the next theorem (Evangelidis and Psarakis, 2007).

*Theorem 1: Consider the objective function defined in (7) and the orthogonal projection matrix  $P_G = \bar{G}(\bar{G}^t \bar{G})^{-1} \bar{G}^t$  of size  $K$ . Then, as far as the maximal value of  $\rho(\Delta\mathbf{p}|\bar{\mathbf{p}})$  is concerned, we distinguish the following two cases:*

*Case  $\hat{\mathbf{i}}_r^t \bar{\mathbf{i}}_w > \hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_w$ : here we have a maximum, specifically*

$$\max_{\Delta\mathbf{p}} \rho(\Delta\mathbf{p}|\bar{\mathbf{p}}) = \sqrt{\frac{(\hat{\mathbf{i}}_r^t \bar{\mathbf{i}}_w - \hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_w)^2}{\|\bar{\mathbf{i}}_w\|^2 - \hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_w}} + \hat{\mathbf{i}}_r^t P_G \hat{\mathbf{i}}_r, \quad (8)$$

*which is attainable for the following optimal perturbation*

$$\Delta\mathbf{p}^o = (\bar{G}^t \bar{G})^{-1} \bar{G}^t \left\{ \frac{\|\bar{\mathbf{i}}_w\|^2 - \hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_w}{\hat{\mathbf{i}}_r^t \bar{\mathbf{i}}_w - \hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_w} \hat{\mathbf{i}}_r - \bar{\mathbf{i}}_w \right\}. \quad (9)$$

*Case  $\hat{\mathbf{i}}_r^t \bar{\mathbf{i}}_w \leq \hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_w$ : here we have a supremum, specifically*

$$\sup_{\Delta\mathbf{p}} \rho(\Delta\mathbf{p}|\bar{\mathbf{p}}) = \sqrt{\hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_r}, \quad (10)$$

*which can be approached arbitrarily close by selecting*

$$\Delta\mathbf{p}^o = (\bar{G}^t \bar{G})^{-1} \bar{G}^t \{ \lambda \hat{\mathbf{i}}_r - \bar{\mathbf{i}}_w \}, \quad (11)$$

*with  $\lambda$  a positive scalar, of sufficiently large value.*

In order to be able to use the results of *Theorem 1* the positive quantity  $\lambda$  must be defined. It is clear that  $\lambda$  must be selected so that the resulting  $\rho(\Delta\mathbf{p}^o|\bar{\mathbf{p}})$  satisfies  $\rho(\Delta\mathbf{p}^o|\bar{\mathbf{p}}) > \rho(0|\bar{\mathbf{p}})$  and  $\rho(\Delta\mathbf{p}^o|\bar{\mathbf{p}}) \geq 0$ . Possible values of  $\lambda$  provide the following lemma (Evangelidis and Psarakis, 2007).

*Lemma 1: Let  $\hat{\mathbf{i}}_r^t \bar{\mathbf{i}}_w \leq \hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_w$  and define the following two values for  $\lambda$*

$$\lambda_1 = \sqrt{\frac{\hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_w}{\hat{\mathbf{i}}_r^t P_G \hat{\mathbf{i}}_r}}, \quad \lambda_2 = \frac{\hat{\mathbf{i}}_r^t P_G \bar{\mathbf{i}}_w - \hat{\mathbf{i}}_r^t \bar{\mathbf{i}}_w}{\hat{\mathbf{i}}_r^t P_G \hat{\mathbf{i}}_r}. \quad (12)$$

Then for  $\lambda \geq \lambda_1$  we have that  $\rho(\Delta \mathbf{p}^o | \tilde{\mathbf{p}}) > \rho(0 | \tilde{\mathbf{p}})$ ; for  $\lambda \geq \lambda_2$  that  $\rho(\Delta \mathbf{p}^o | \tilde{\mathbf{p}}) \geq 0$ ; finally for  $\lambda \geq \max\{\lambda_1, \lambda_2\}$  we have both inequalities valid.

Let us now translate the above results into an *iterative* scheme in order to obtain the solution to the original nonlinear optimization problem.

To this end, let us assume that from iteration  $j - 1$  we have available the parameter estimate  $\mathbf{p}_{j-1}$  and we adopt the following additive rule

$$\mathbf{p}_j = \mathbf{p}_{j-1} + \Delta \mathbf{p}_j. \quad (13)$$

Then, using  $\mathbf{p}_{j-1}$  we can compute  $\bar{\mathbf{i}}_w(\mathbf{p}_{j-1})$  and  $\bar{G}(\mathbf{p}_{j-1})$  and optimize the approximation  $\rho(\Delta \mathbf{p}_j | \mathbf{p}_{j-1})$  with respect to  $\Delta \mathbf{p}_j$ . The iterative algorithm is summarized below.

#### Initialization

- Use  $I_r$  to compute  $\hat{i}_r$  defined in (3).
- Initialize  $\mathbf{p}_0$  and set  $j = 1$ .

#### Iteration Steps

- Using  $T(\mathbf{x}; \mathbf{p}_{j-1})$  warp  $I_w$  and compute  $\bar{\mathbf{i}}_w(\mathbf{p}_{j-1})$
- Using  $T(\mathbf{x}; \mathbf{p}_{j-1})$  warp the gradient  $\nabla I_w$  of  $I_w$  and compute the Jacobian matrix  $\bar{G}(\mathbf{p}_{j-1})$
- Compare  $\hat{i}_r \bar{\mathbf{i}}_w$  with  $\hat{i}_r P_G \bar{\mathbf{i}}_w$  and compute perturbations  $\Delta \mathbf{p}_j^o$  either from (9) or using (11) and (12)
- Update parameter vector  $\mathbf{p}_j = \mathbf{p}_{j-1} + \Delta \mathbf{p}_j^o$ .

If  $\|\Delta \mathbf{p}_j^o\| \geq \epsilon_p$  then,  $j++$  and repeat; else stop.

As it is indicated above, the algorithm is executed until the norm of the perturbation vector  $\|\Delta \mathbf{p}_j^o\|$  becomes smaller than a predefined threshold  $\epsilon_p$ .

We must stress here that the choice of the initial value of  $\mathbf{p}_0$  is very critical for both the speed and the probability of convergence of the proposed algorithm. For example, in the specific case of partially overlapped images or in a too strong geometric deformation case (i.e. flip up-down), an appropriate value of  $\mathbf{p}_0$  helps the algorithm to avoid local maxima. A reliable estimation for the initialization of the algorithm can be obtained by incorporating the above algorithm with a correlation style search method (Shum and Szeliski, 2000) or a landmark-based method (Johnson and Christensen, 2002). However, in this paper we consider that the images have sufficient overlap.

The structure of the iterative algorithm is very similar to the forward additive scheme of the Lucas-Kanade (LK) algorithm (Lucas and Kanade, 1981), one of the most frequently used algorithm for the image alignment problem, but as we are going to see in the next section, the proposed updating scheme improves the performance significantly.

## 3.2 Parametric Models

In this work, to model the warping process we are going to use the following eight-parameters projective transformation (homography)

$$\mathbf{x}' = T(\mathbf{x}; \mathbf{p}) = \frac{1}{P} \begin{bmatrix} p_1 & p_2 & p_3 \\ p_4 & p_5 & p_6 \end{bmatrix} \begin{bmatrix} \mathbf{x} \\ 1 \end{bmatrix} \quad (14)$$

where  $P = p_7x + p_8y + 1$  ( $P \neq 0$ ). This class of transformations is the most general class of the well known 2-D planar motion models that gives the exact number of desired parameters to account for all the possible camera motions.

For the Jacobian of the projective model we have

$$\frac{\partial T(\mathbf{x}; \mathbf{p})}{\partial \mathbf{p}} = \frac{1}{P} \begin{bmatrix} x & y & 1 & 0 & 0 & 0 & -x'x & -x'y \\ 0 & 0 & 0 & x & y & 1 & -y'x & -y'y \end{bmatrix}, \quad (15)$$

where  $x', y'$  are the elements of vector  $\mathbf{x}'$ .

As it is clear from (14), projective transformation is a nonlinear function of its parameters and its stability as well as the continuity of its Jacobian depends on the values of the denominator  $P$ . To ensure its stability and the existence of its Jacobian, we restrict ourselves on admissible (Radke et al., 2000) estimations of the transform. Note also that in spite of the affine model which has a Jacobian that does not depend on the warping parameters, projective model, as it is obvious from (15), has a Jacobian that depends on the parameters  $\mathbf{p}$  and thus it must be updated on each iteration of the iterative algorithms. These drawbacks can be overcome if we use approximated to projective transformation models as bilinear or polynomial models. However in this case, the projective deformation cannot be exactly adjusted and this approach may lead to meaningless alignment results in a real applications.

## 4 Simulation Results

In this section we are going to evaluate our algorithm and compared it against the forward additive version of the Lucas-Kanade algorithm (Lucas and Kanade, 1981), as it is implemented in (Baker and Matthews, 2004). We perform two sets of experiments. In both sets, for the modelling of the warping process the nonlinear projective transformation defined in (14) is used, but in the first set of experiments the reference image profiles are created using a nonlinear projective transformation, while in the second set by using an affine one. We must stress at this point that for all aspects affecting the simulation experiments, we made an effort to stay exactly within the frame specified

in (Baker and Matthews, 2004). Before we present our results we give some details for the experimental setup as well as the figures of merit we are going to use in order to fairly compare the competing algorithms.

## 4.1 Experimental Setup

The experimental setup is described analytically in (Baker and Matthews, 2004). In brief, we consider an image  $I(\mathbf{x})$  and we add point noise  $\mathcal{N}(0, \sigma_p^2)$  to four canonical points inside the image plane thus creating a projective transformation  $\mathbf{p}_r$  between noisy and original points (for affine distortion three points are adequate). By applying this transformation to the image we obtain a reference profile  $I_r = I(T(\mathbf{x} : \mathbf{p}_r))$  and starting from an initial transformation  $\mathbf{p}_0$  (here the identity warp)  $I_w = I(T(\mathbf{x} : \mathbf{p}_0))$  we try to estimate  $\mathbf{p}_r$  through the alignment of  $I_r$  with  $I_w$ . Notice that  $\sigma_p$  captures the strength of the geometric deformation.

The quality of the alignment at  $j$ -th iteration is expressed by the following error metric

$$e(j) = \frac{1}{8} \sum_{\mathbf{x} \in \mathcal{C}} \|T(\mathbf{x}; \mathbf{p}_r) - T(\mathbf{x}; \mathbf{p}_j)\|^2. \quad (16)$$

where  $\mathcal{C}$  is the set of coordinates of four canonical points and  $\mathbf{p}_j$  is the current estimation of transformation. The alignment algorithm is considered as converged if it achieves an error measure below than a predefined threshold  $T_c$  at a prescribed maximal iteration  $j_{max}$ , that is  $e(j_{max}) \leq T_c$ .

As first figure of merit we use the Mean Square Distance (MSD) as a function of iteration number  $j$ , where MSD value is the arithmetic mean of the sequence  $e(j)$  over all realizations (Baker and Matthews, 2004). What differentiates these realizations is the reference profile we try to come near. Note that this figure captures the learning ability of the algorithm. The second figure of merit is the the percentage of converging (PoC) runs (Baker and Matthews, 2004). This quantity is the percentage of runs that converge up to maximal iteration  $j_{max}$ , based again on the above mentioned convergence criterion. PoC is depicted as a function of the point deviation  $\sigma_p$ , the most important factor that affects the performance of the alignment algorithm.

Since it is natural to prefer an algorithm that converges quickly with high probability, we propose a third figure of merit that captures exactly this point (Evangelidis and Psarakis, 2007). In other words we propose the generation of a histogram depicting the probability of successful convergence at each iteration. Specifically a run of an algorithm on an image pair realization will be considered as having converged at iteration  $n$  when the squared error  $e(j)$  goes

below the threshold  $T_c$  for *the first time* at iteration  $j = n$ . It is clear that we prefer a histogram to be concentrated over mostly small iteration-numbers.

In all experiments and for all figures of merit that follow we use  $T_c = 1 \text{ pixel}^2$ .

## 4.2 Minimal Case

In this subsection we present the results we obtained from the first set of experiments we have conducted. As it is above described, in this case we create the reference profile by using a projective transform and we model the warping process by using a transformation of the same class.

### 4.2.1 Experiment I

In the first experiment, the alignment algorithms try to compensate only the geometric distortion since this is the only that has been applied to images. Specifically, we use the ‘‘Takeo’’ image (Baker and Matthews, 2004) as input image and we create 500 different reference profiles for each integer values of  $\sigma_p$  in the range  $[1, 10]$ . For each one of the 500 realizations, we permit the algorithms to make 15 iterations ( $j_{max} = 15$ ). Since no intensity noise is added to image, we expect MSD to reach very low levels which cannot be zero due to finite precision arithmetic.

Figure 1 depicts the relative performance of the two algorithms. As we mentioned above, we present the arithmetic mean of the sequence  $e(j)$  for those realizations where both algorithms have converged. Three cases are investigated; (a)  $\sigma_p = 2$ , (b)  $\sigma_p = 6$  and (c)  $\sigma_p = 10$ . In all these cases our algorithm exhibits a significantly smaller MSD which is order(s) of magnitude better than the one obtained by the LK scheme. Furthermore concerning the PoC, as we can see from Figure 1.(d), our algorithm exhibits better performance for all values of  $\sigma_p$ . Specifically for strong deformations ( $\sigma_p = 10$ ) the improvement can become quite significant (18%).

As far as the probability of successful convergence is concerned, we applied the algorithms for a maximal number of 100 iterations ( $j_{max} = 100$ ). In Figure 2 the resulting graphs are shown. In order however, for the differences to become visible, we present only the first 50 bins of the histogram. Only the histograms for the case of  $\sigma_p = 6$  and  $\sigma_p = 10$  are shown. As we can clearly see the proposed algorithm has larger percentage of converged realizations in smaller iteration numbers than the LK scheme.

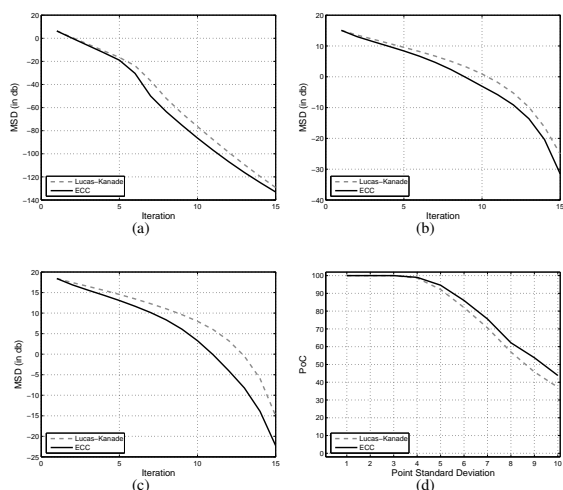


Figure 1: MSD in dB as a function of number of iterations; (a)  $\sigma_p = 2$ , (b)  $\sigma_p = 6$ , (c)  $\sigma_p = 10$ . In (d), PoC as a function of  $\sigma_p$  for  $j_{\max} = 15$ .

#### 4.2.2 Experiment II

In this experiment we repeat the previous procedure, but now we add intensity noise to both images before their alignment. Specifically, the standard deviation of the noise we add into the images is equal to 8 gray levels. Due to this noise, even theoretically the MSD can no longer be equal to 0.

In Figure 3 the results we obtained are shown. For the case of  $\sigma_p = 2$  we observe that both algorithms reach an MSD floor value, while in the other two cases this is not visible. Note though that the proposed algorithm outperforms the LK scheme by a half or a full order of magnitude. Furthermore, the proposed algorithm exhibits a larger PoC score confirming thus its superiority. Regarding the histograms, as we can see from Figure 4, the resulting histograms are very similar to the previous noise-free case with the histograms of the proposed algorithm having a larger percentage of converged realizations in smaller iteration numbers than the LK scheme.

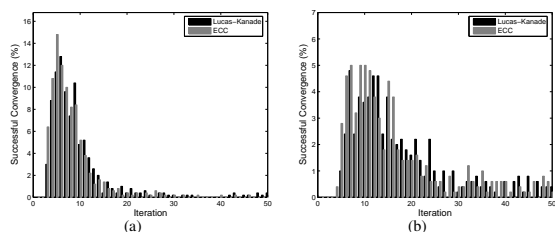


Figure 2: Histograms of successful convergence as a function of number of iterations; (a)  $\sigma_p = 6$ , (b)  $\sigma_p = 10$ .

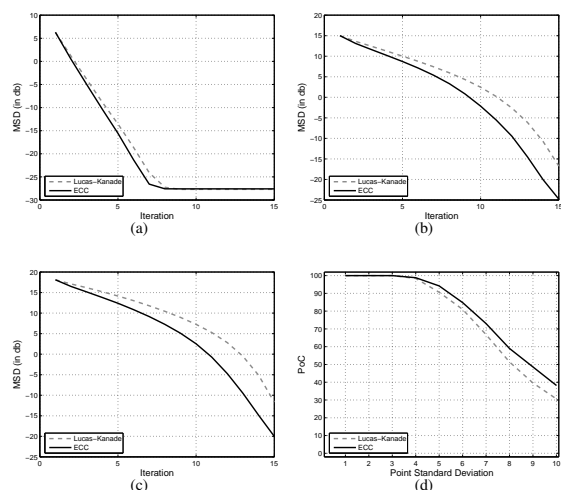


Figure 3: MSD in dB as a function of number of iterations for the noisy (8 gray levels) “Takeo” image; (a)  $\sigma_p = 2$ , (b)  $\sigma_p = 6$ , (c)  $\sigma_p = 10$ . In (d), PoC as a function of  $\sigma_p$  for  $j_{\max} = 15$ .

#### 4.3 Over-Modelling Case

In this subsection we examine the behavior of the algorithms under the influence of over-modelling. Specifically, we create the reference profiles by using an affine transform, but we still model the warping process by using a nonlinear projective transformation. Since six parameters are required for the affine transform, the values of two more parameters ( $p_7, p_8$ ) must be estimated by the alignment algorithms. Ideally these values must be equal to zero. Since we like to evaluate the performance of the algorithms under the influence of the over-modelling, we concentrate ourselves on the realizations where both algorithms are converged when the warping process is modelled by an affine transformation. Then, we run the competing algorithms on these common converged realizations, the converged realizations for each one in the over-modelling case are counted, and the result-

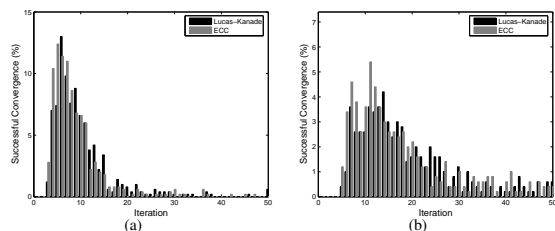


Figure 4: Histograms of successful convergence as a function of number of iterations for the noisy (8 gray levels) “Takeo” image; (a)  $\sigma_p = 6$ , (b)  $\sigma_p = 10$ .

ing learning curves and PoC scores are presented. As far as the probabilities of convergence are concerned, as in the minimal case we applied the algorithms for a maximum of 100 iterations and the resulting histograms are also presented. For comparison purposes, the learning curves as well as PoC scores obtained from the affine modelling are superimposed on the corresponding plots. As in the previous subsection two experiments are conducted.

### 4.3.1 Experiment III

This experiment is very similar to Experiment I. As we already mentioned, the basic difference is that the reference profiles have been created by using affine transformations instead of projective ones.

As it was expected (Figure 5), over-modelling degrades the performance of the estimation, affects PoC score as well as the learning ability of the algorithms. However, we observe that ECC algorithm seems to be more robust in the over-modelling case than the LK algorithm. Indeed, this is exactly the case if we take into account the number of realizations in which each algorithm has converged (the values appeared next to each curve). For example, for the case of  $\sigma_p = 10$  (Figure 5.(c)), in a total of 182 common “successfully” converged realizations under affine modelling (Evangelidis and Psarakis, 2007), LK algorithm succeeded in aligning 95 profiles (52%), while ECC algorithm 156 (86%). Figure 5.(d) depicts the algorithms PoC as a function of  $\sigma_p$  for both cases. We observe that the behavior of ECC algorithm is better as compared to the LK scheme which exhibits a significant degradation in its performance due to over-modelling. In Figure 6 the obtained histograms are shown. As we can see from Figure 6 the histograms resulting from the proposed algorithm are more concentrated over smaller iteration numbers than the histograms resulting from the LK scheme. This is more evident in Figure 6.(b) where the resulting histogram from the LK scheme is almost uniformly spread over the range 5 to 30.

### 4.3.2 Experiment IV

The conditions of this experiment are similar to the conditions of Experiments III, except the fact that we try to align noisy images, where the standard deviation of the additive noise is 8 gray levels. The obtained simulation results are shown in Figure 7. As in the previous experiments, ECC algorithm seems to outperforms the LK scheme. As we can see from the corresponding figures, ECC based algorithm has converged in more realizations than LK algorithm has. It is also worth noting from Figure 7.(d) where the

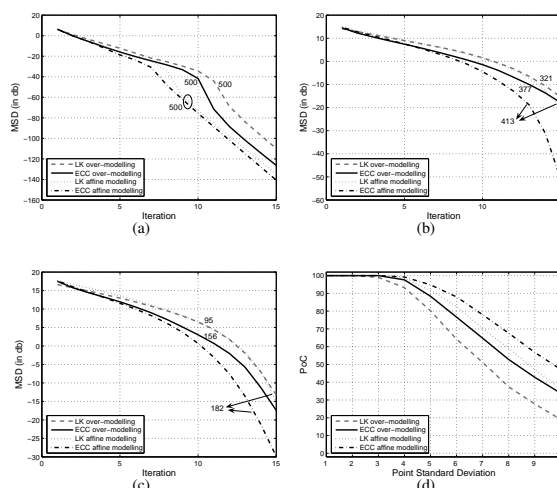


Figure 5: Over-modelling case. MSD in dB as a function of number of iterations; (a)  $\sigma_p = 2$ , (b)  $\sigma_p = 6$ , (c)  $\sigma_p = 10$ . In (d), PoC as a function of  $\sigma_p$  for  $j_{\max} = 15$ .

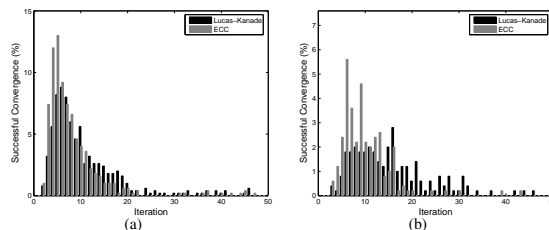


Figure 6: Over-modelling case. Histograms of successful convergence as a function of number of iterations; (a)  $\sigma_p = 6$ , (b)  $\sigma_p = 10$ .

PoC score is depicted, that the performance of ECC algorithm in the over-modelling case almost coincides with the performance of LK algorithm in the case of affine modelling. Finally, similar conclusion with that of the previous experiment can be drawn from Figure 8 where the obtained histograms with the percentages of successful convergence are depicted.

## 5 Conclusions

In this paper a recently proposed parametric alignment algorithm was used in the projective registration problem. This algorithm aims at maximizing the Enhanced Correlation Coefficient function which is a robust similarity measure against both geometric and photometric distortions. The optimal parameters are obtained by iteratively solving a sequence of approximate nonlinear optimization problems, which enjoy a simple closed-form solution with low computational

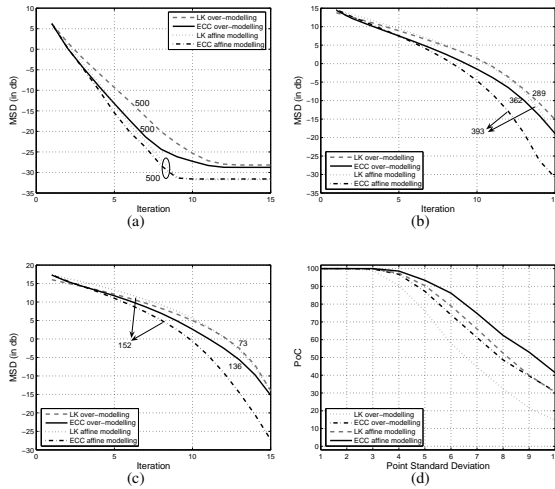


Figure 7: Over-Modelling case. MSD in dB as a function of number of iterations for the noisy (8 gray levels) “Takeo” image; (a)  $\sigma_p = 2$ , (b)  $\sigma_p = 6$ , (c)  $\sigma_p = 10$ . In (d), PoC as a function of  $\sigma_p$  for  $j_{\max} = 15$ .

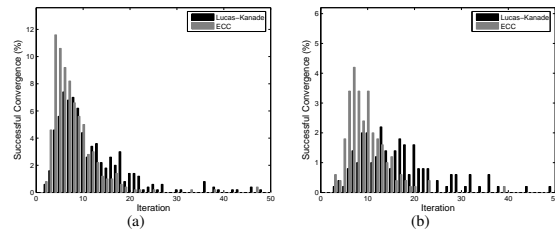


Figure 8: Over-Modelling case. Histograms of successful convergence as a function of number of iterations for the noisy (8 gray levels) “Takeo” image; (a)  $\sigma_p = 6$ , (b)  $\sigma_p = 10$ .

cost. The algorithm was compared against the well known Lucas-Kanade algorithm, through numerous simulation examples involving ideal and noisy conditions, strong and weak geometric deformations and even over-modelling of the warping transformation. In all cases the proposed algorithm exhibited a better behavior with an improvement in speed, as well as in probability of convergence as compared to the Lucas-Kanade algorithm.

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